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12-27-00

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/26/00

UTILITY PATENT APPLICATION TRANSMITTAL

Form 1 for new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No.	M61.12-0339
First Inventor or Application Identifier	Mei-Yuh Hwang et al.
Title	METHOD FOR ADDING PHONETIC DESCRIPTIONS TO A SPEECH RECOGNITION LEXICON
Express Mail Label No.	EL636051544US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Sheets 35]
(preferred arrangement set forth below
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]

4. Oath or Declaration [Total Sheets 4]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. *Small Entity Statement(s) Statement filed in prior application.
(PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: Our checks in the amounts of
\$728.00 and \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation –in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Theodore M. Magee WESTMAN CHAMPLIN & KELLY				
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Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature	<i>Theodore M. Magee</i>		Date 12/26/00

FEE TRANSMITTAL						Complete if Known				
						Application No.				
						Filing Date			HEREWITH	
						First Named Inventor			Mei-Yuh Hwang et al.	
						Title			METHOD FOR ADDING PHONETIC DESCRIPTIONS TO A SPEECH RECOGNITION LEXICON	
						Group Art Unit				
Examiner Name										
Total Amount of Payment \$ 728						Atty. Docket Number	M61.12-0339			
METHOD OF PAYMENT (Check One)						FEE CALCULATION (Continued)				
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.						3. ADDITIONAL FEES				
2. <input checked="" type="checkbox"/> Check Enclosed						Large Entity	Small Entity	Fee Paid		
Fee	Fee	Fee	Fee	Fee Description						
Code	(\$)	Code	(\$)							
105	130	205	65	Surcharge - Late filing fee or oath						
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet						
139	130	139	130	Non-English specification						
147	2,520	147	2,520	For Filing a Request for Reexamination						
115	110	215	55	Extension for reply within first month						
116	390	216	195	Extension for reply within second month						
117	890	217	445	Extension for reply within third month						
118	1,390	218	695	Extension for reply within fourth month						
128	1,890	280	945	Extension for reply within fifth month						
120	310	220	155	Filing a brief in support of an appeal						
121	270	221	135	Request for oral hearing						
148	110	248	55	Terminal Disclaimer Fee						
140	110	240	55	Petition to Revive - unavoidable						
Total	21	20	1	18	18	141	1,240	241	620	Petition to Revive - unintentional
Indep.	3	3	0	80	0	142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)
Multiple Dependent Claims						143	470	243	250	Design issue fee (inc. advance copies)
** Insert 3 and 20, or number previously paid if greater, Reissue see below						122	130	122	130	Petitions to the Commissioner
Large Entity Small Entity Fee Fee Fee Fee Description						123	50	123	50	Petitions related to provisional applications
Code	(\$)	Code	(\$)		126	240	126	240	Submission of Information Disclosure Statement	
103	18	203	9	Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	
102	80	202	40	Independent claims in excess of 3	Other Fee (specify) _____					
104	270	204	135	Multiple Dependent Claims						
109	80	209	40	Reissue Independent Claims over Original Patent						
110	18	210	9	Reissue claims in excess of 20 and over original patent						
Subtotal (2) \$ 18										Subtotal (3) \$

Signature Theodore Magee
(Theodore M. Magee)

Req. No. 39,758

Date 12-26-00

Deposit Account No. 23-1123